

# Financial Services IT Risk Assessment Form

Assessment Date

Assessed By

Department/Team

IT System/Service Name

Description of IT System/Service

## Risk Identification

Risk Name

Risk Description

Potential Threats

Vulnerabilities

Business Impact

## Risk Assessment

Likelihood

Impact Level

Overall Risk Rating

## Existing Controls

Existing Controls

Control Effectiveness

## Risk Treatment & Actions

Action Plan / Mitigation Steps

Responsible Person

Target Completion Date

Status