## **Financial Services Security Risk Assessment Questionnaire**

## **Organization Overview**

Organization Name
Ocarle at Demon
Contact Person
Email Address
Phone Number
General Security Policies
Do you have a documented information security policy?
Is the policy reviewed and updated regularly?
C
C
Access Control
Is access to sensitive financial data restricted based on role?
0
Are multi-factor authentication methods enforced?
O
Network Security
Is your network segmented for financial systems?
Ö
Are firewalls and intrusion detection/prevention systems deployed?

Is sensitive financial data encrypted at rest and in transit?  C C Are regular data backups performed and tested? C C Incident Response  Do you have an incident response plan in place? C C Has your organization conducted incident response drills? C C Vendor Management  Are third-party vendors required to comply with security standards? C C Is there a process for assessing vendor security risks? C C C Comments / Additional Information  Provide any additional information or comments:	Data Protection
Incident Response  Do you have an incident response plan in place?  C  Has your organization conducted incident response drills?  C  Vendor Management  Are third-party vendors required to comply with security standards?  C  Is there a process for assessing vendor security risks?  C  C  C  Comments / Additional Information	C
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Has your organization conducted incident response drills?  Vendor Management  Are third-party vendors required to comply with security standards?  Is there a process for assessing vendor security risks?  Comments / Additional Information	Incident Response
Vendor Management  Are third-party vendors required to comply with security standards?  State a process for assessing vendor security risks?  Comments / Additional Information	C
Are third-party vendors required to comply with security standards?  C C Is there a process for assessing vendor security risks? C C C C C C C C C C C C C C C C C C C	C
Is there a process for assessing vendor security risks?  C  C  C  C  C  C  C  C  C  C  C  C  C	Vendor Management
Comments / Additional Information	C
	C
Provide any additional information or comments:	Comments / Additional Information
	Provide any additional information or comments: