

Financial Services Security Risk Assessment Questionnaire

Organization Overview

Organization Name

Contact Person

Email Address

Phone Number

General Security Policies

Do you have a documented information security policy?

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Is the policy reviewed and updated regularly?

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Access Control

Is access to sensitive financial data restricted based on role?

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Are multi-factor authentication methods enforced?

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Network Security

Is your network segmented for financial systems?

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Are firewalls and intrusion detection/prevention systems deployed?

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Data Protection

Is sensitive financial data encrypted at rest and in transit?

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Are regular data backups performed and tested?

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Incident Response

Do you have an incident response plan in place?

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Has your organization conducted incident response drills?

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Vendor Management

Are third-party vendors required to comply with security standards?

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Is there a process for assessing vendor security risks?

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Comments / Additional Information

Provide any additional information or comments: