

Maritime Flag State Audit Checklist

General Information

Audit Reference	
Date	
Auditor(s)	
Audited Administration	

1. Legislative Framework & Responsibilities

Item	Yes/No	Findings/Comments
Is there a national legal framework supporting flag State implementation?		
Are responsibilities of the Administration clearly defined?		
Is accountability of duties and functions established?		

2. Delegation of Authority

Item	Yes/No	Findings/Comments
Are authorization procedures for recognized organizations in place?		
Are oversight and monitoring of delegated agencies conducted?		

3. Certification & Inspection

Item	Yes/No	Findings/Comments
Are all required statutory certificates issued and updated?		
Are regular inspections/audits carried out as required?		
Is there a record-keeping system for ship certification?		

4. Manning & Training

Item	Yes/No	Findings/Comments
Are STCW requirements being fulfilled?		
Is there a system for issuing seafarers' documents?		

5. Safety, Security & Accident Reporting

Item	Yes/No	Findings/Comments
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Is there a mechanism for incident/accident investigation?		
Are security requirements under SOLAS observed?		

6. Environmental Protection

Item	Yes/No	Findings/Comments
Are MARPOL requirements implemented and enforced?		

7. Continuous Improvement & Corrective Action

Item	Yes/No	Findings/Comments
Is there a procedure for handling non-conformities and corrective actions?		
Is performance regularly reviewed for improvement?		

Additional Notes

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